

# Two categorifications and a prelim

Alex Chandler

North Carolina State University

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# Category theory basics

A *category*  $\mathcal{C}$  consists of

- a class of objects  $\text{Ob}(\mathcal{C})$
- a class  $\text{Hom}(x, y)$  of morphisms for each  $x, y \in \text{Ob}(\mathcal{C})$
- an associative composition of morphisms
- identity morphisms w.r.t this composition

## Categorification (a philosophy)

**Categorification** is the process of finding category theoretic analogues of set theoretic ideas by 'upgrading':

categorification →	
sets	categories
elements	objects
equations between elements	isomorphisms between objects
functions	functors
← decategorification	

**Decategorification** is the reverse process (forgetting the extra structure)

# Goals of this talk

## Discuss

- Categorification of the Vandermonde determinant
- Categorification of the Laguerre polynomials

## Example from combinatorics

Objects:                      Morphisms:  
finite sets                      functions



The category FinSet categorifies  $\mathbb{N}$ . Decategorify by taking cardinality

- $S \in \text{Ob FinSet}$  decategorifies to  $|S|$
- $S \uplus T$  decategorifies to  $|S \uplus T| = |S| + |T|$
- $S \times T$  decategorifies to  $|S \times T| = |S| |T|$

## Combinatorialists use categorification routinely!

E.g. to prove  $\binom{n+m}{n} = \sum_{k \geq 0} \binom{n}{k} \binom{m}{k}$ , it is easiest to work in the categorified setting. (Notation: let  $[n] = \{1, 2, \dots, n\}$ )


- $\binom{n+m}{n}$  is categorified by  $\binom{[n] \uplus [m]}{n}$ , subsets of  $[n] \uplus [m]$  with  $n$  elements
- $\sum_{k \geq 0} \binom{n}{k} \binom{m}{k}$  is categorified by  $\uplus_{k \geq 0} \binom{[n]}{k} \times \binom{[m]}{k}$
- There is an bijection  $\uplus_{k \geq 0} \binom{[n]}{k} \times \binom{[m]}{k} \cong \binom{[n] \uplus [m]}{n}$  sending

$$(S, T) \mapsto ([n] \setminus S) \cup T$$

- This fact decategorifies to the formula  $\binom{n+m}{n} = \sum_{k \geq 0} \binom{n}{k} \binom{m}{k}$

## Another categorification of $\mathbb{N}$

Objects:                      Morphisms:  
f.g.  $R$ -modules           $R$ -mod homomorphisms



$R$  comm ring. The category  $R\text{-mod}$  categorifies  $\mathbb{N}$ .  
Decategorify by taking rank.

- $M \in \text{Ob } R\text{-mod}$  decategorifies to  $\text{rank } M$
- $N \oplus M$  decategorifies to  $\text{rank } N + \text{rank } M$
- $N \otimes M$  decategorifies to  $\text{rank } N \text{ rank } M$

# Cochain complexes

To get integers we will need *cochain complexes*  $(C^*, d)$

- A *cochain complex* over  $R$ -mod is  $C^* = \bigoplus_{i \in \mathbb{Z}} C^i$  with
- $C^i \in R$ -mod
- a *differential*  $d : C^* \rightarrow C^*$  with  $d(C^i) \subseteq C^{i+1}$
- write  $d^i = d|_{C^i}$
- $d^2 = 0$
- has homology  $H^i(C^*) = \ker d^i / \text{Im } d^{i-1}$
- has *Euler characteristic*

$$\chi(C^*) = \sum_{i \in \mathbb{Z}} (-1)^i \text{rank } C^i = \sum_{i \in \mathbb{Z}} (-1)^i \text{rank } H^i(C^*)$$



# Categorifying $\mathbb{Z}$

Objects: bounded  
cochain complexes

Morphisms:  
chain maps

$R$  comm ring. The category  $\mathcal{C}^b(R\text{-mod})$  categorifies  $\mathbb{Z}$ .

- $C^* \in \text{Ob } \mathcal{C}^b(R\text{-mod})$  decategorifies to  $\chi(C^*)$
- $C^* \oplus D^*$  decategorifies to  $\chi(C^*) + \chi(D^*)$
- $C^* \otimes D^*$  decategorifies to  $\chi(C^*)\chi(D^*)$

# Categorifying linear algebra

- Categorical diagonalization (Elias and Hogankamp)
- Categorification of the trace of the Coxeter matrix (Happel)
- Categorified determinants?

**Goal #1:** Categorify the Vandermonde determinant

The **Vandermonde determinant** is defined as

$$V_n = \begin{vmatrix} x_1 & x_1^2 & \cdots & x_1^n \\ x_2 & x_2^2 & \cdots & x_2^n \\ \vdots & \vdots & \ddots & \vdots \\ x_n & x_n^2 & \cdots & x_n^n \end{vmatrix} = \sum_{\pi \in S_n} (-1)^{\text{inv}(\pi)} x_1^{\pi(1)} x_2^{\pi(2)} \cdots x_n^{\pi(n)}$$

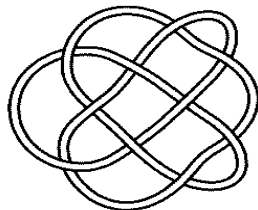
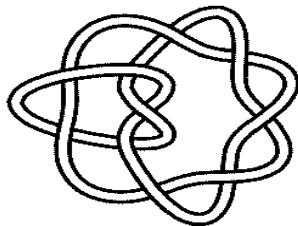
- $V_n = x_1 \cdots x_n \prod_{i < j} (x_j - x_i)$
- Every alternating polynomial is a multiple of  $\check{V}_n = \frac{V_n}{x_1 \cdots x_n}$
- Appears in Frobenius formula which calculates characters of representations of  $S_n$

# The Plan

- Categorify (evaluations of)  $V_n$  for  $x_1, \dots, x_n \in \mathbb{N}$
- Accomplish this in a way analogous to Khovanov's categorification of the Jones Polynomial
- First review Khovanov homology

## Basic Question in Topology

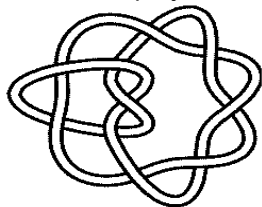
Are these knots the same? Not easy to say.



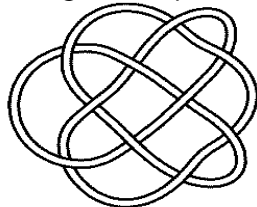
To answer such questions we use *knot invariants*

## Using knot invariants to distinguish knots

The Jones polynomial is good at telling knots apart:



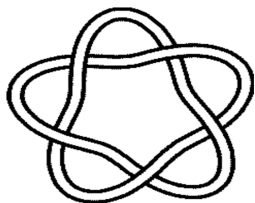
$$\begin{aligned}
 J(q) = & -q^4 + q^3 - q^2 \\
 & + 2q - 1 + 2q^{-1} - q^{-2} \\
 & + q^{-3} - q^{-4}
 \end{aligned}$$



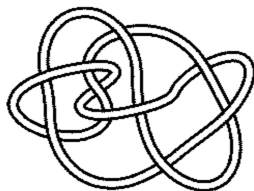
$$\begin{aligned}
 J(q) = & q^3 - 4q^2 + 8q \\
 & - 11 + 15q^{-1} + 15q^{-3} \\
 & - 12q^{-4} + 8q^{-5} - 4q^{-6} + q^{-7}
 \end{aligned}$$

Polynomials are different  $\implies$  knots are different

## When Jones doesn't do the trick



$$\begin{aligned}
 J(q) = & 2q^{-1} - 3q^{-2} + 5q^{-3} \\
 & -5q^{-4} + 5q^{-5} - 5q^{-6} \\
 & +3q^{-7} - 2q^{-8} + q^{-9}
 \end{aligned}$$



$$\begin{aligned}
 J(q) = & 2q^{-1} - 3q^{-2} + 5q^{-3} \\
 & -5q^{-4} + 5q^{-5} - 5q^{-6} \\
 & +3q^{-7} - 2q^{-8} + q^{-9}
 \end{aligned}$$

Polynomials equal  $\nRightarrow$  knots are the same



# Khovanov Homology

Categorifies the Jones polynomial

$$J(K) = \sum_{\alpha \in \{0,1\}^n} (-1)^{h(\alpha)} (q + q^{-1})^{s(\alpha)} \in \mathbb{Z}[q, q^{-1}]$$


where  $K$  is a knot diagram with  $n$  crossings,

$$h(\alpha) = \#1's \text{ in } \alpha,$$

$$s(\alpha) = \#\text{circles in } \alpha\text{-smoothing}$$

# Categorifying $\mathbb{N}[q, q^{-1}]$

Objects: graded  $R$ -modules      Morphisms: graded homomorphisms



$R$  comm ring. The category  $R$ -gmod categorifies  $\mathbb{N}[q, q^{-1}]$ .  
 The *graded rank* of  $M = \bigoplus_{n \in \mathbb{Z}} M_n$  is  $q\text{rank } M = \sum_{n \in \mathbb{Z}} q^n \text{rank } M_n$ .

- $M = \bigoplus_{n \in \mathbb{Z}} M_n$  decategorifies to  $q\text{rank } M$
- $M \oplus N$  decategorifies to  $q\text{rank } M + q\text{rank } N$
- $M \otimes N$  decategorifies to  $q\text{rank } M q\text{rank } N$

# Categorifying $\mathbb{Z}[q, q^{-1}]$

Objects: bounded cochain  
complexes of graded  $R$ -modules

Morphisms: graded  
chain maps

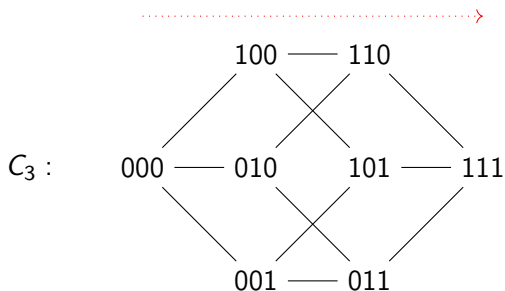


$R$  comm ring. The category  $\mathcal{C}(R\text{-gmod})$  categorifies  $\mathbb{Z}[q, q^{-1}]$ .  
The *graded Euler characteristic* is  $\chi_q(C^*) = \sum_{r \in \mathbb{Z}} (-1)^r q^{\text{rank } C^r}$

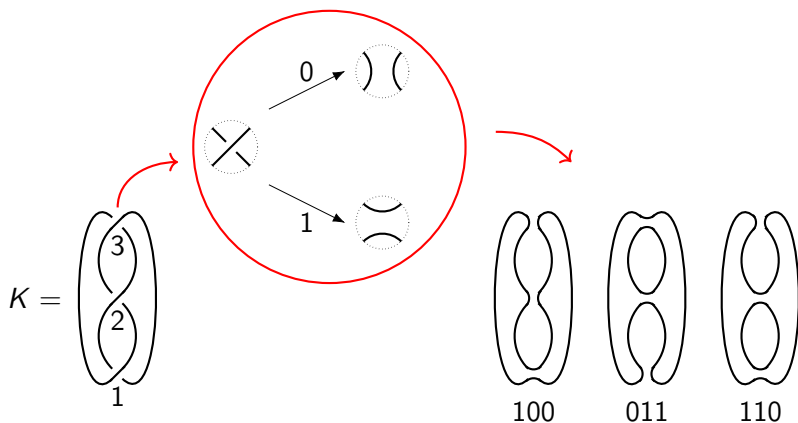
- $C^* \in \text{Ob } \mathcal{C}(R\text{-gmod})$  decategorifies to  $\chi_q(C^*)$
- $C^* \oplus D^*$  decategorifies to  $\chi_q(C^*) + \chi_q(D^*)$
- $C^* \otimes D^*$  decategorifies to  $\chi_q(C^*)\chi_q(D^*)$

# The $n$ -cube $C_n$

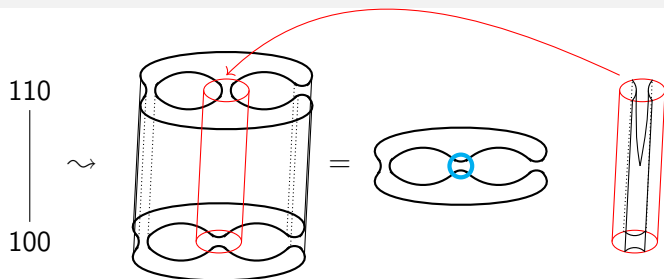
- Partially ordered set with vertices  $\{0, 1\}^n$
- Cover relation (edge) when you change a 0 to a 1:



$n$ -tuples of 1's and 0's encode **smoothings** of  $K$



## Edges encode **cobordisms** between smoothings



- Start with  $(100\text{-smoothing}) \times [0, 1]$
- Remove cylindrical neighborhood of changing crossing
- Replace with a saddle

# Category of cobordisms

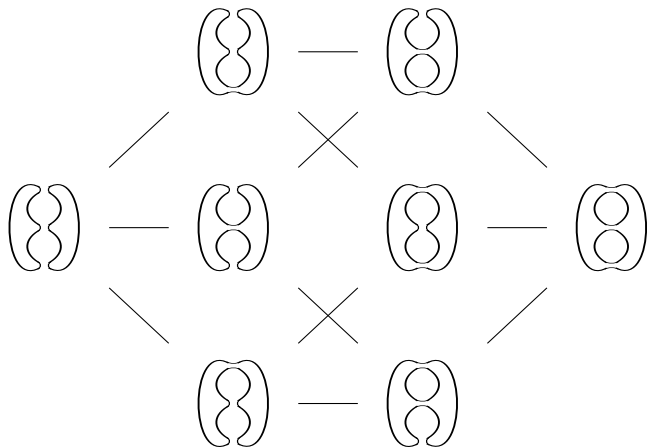
Objects: closed 1D  
manifolds

Morphisms: 2D surfaces  
with boundary



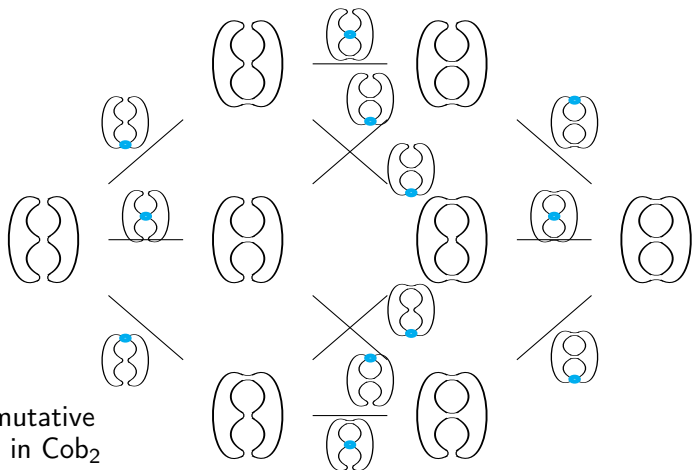
The category  $\text{Cob}_2$  contains smoothings and cobordisms as its objects and morphisms

Replace vertices in  $C_n$  by corresponding smoothings





# Replace edges in $C_n$ by cobordisms



## 2D TQFTs and Frobenius algebras

A 2D TQFT is a monoidal functor from  $\text{Cob}_2$  to  $R\text{-mod}$ .

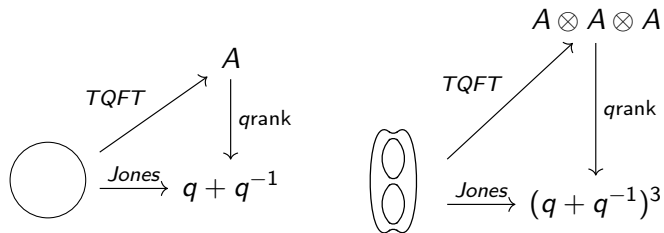
- assigns an  $R$ -module  $A$  to each circle

$$\bigcirc \longrightarrow A \qquad \bigcirc \bigcirc \bigcirc \longrightarrow A \otimes A \otimes A \otimes A$$

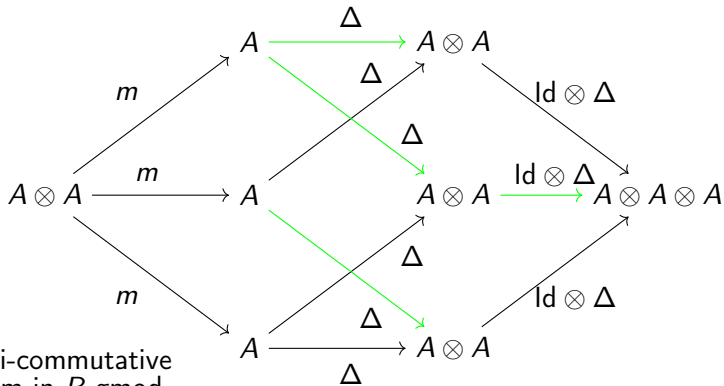
- assigns linear maps to cobordisms

$$\begin{array}{cc} \text{multiplication} & \text{unit} \\ \begin{array}{c} \text{Diagram of multiplication cobordism} \end{array} \longrightarrow \left( m : A \otimes A \rightarrow A \right) & \begin{array}{c} \text{Diagram of unit cobordism} \end{array} \longrightarrow \left( \eta : R \rightarrow A \right) \\ \text{comultiplication} & \text{counit} \\ \begin{array}{c} \text{Diagram of comultiplication cobordism} \end{array} \longrightarrow \left( \Delta : A \rightarrow A \otimes A \right) & \begin{array}{c} \text{Diagram of counit cobordism} \end{array} \longrightarrow \left( \epsilon : A \rightarrow R \right) \end{array}$$

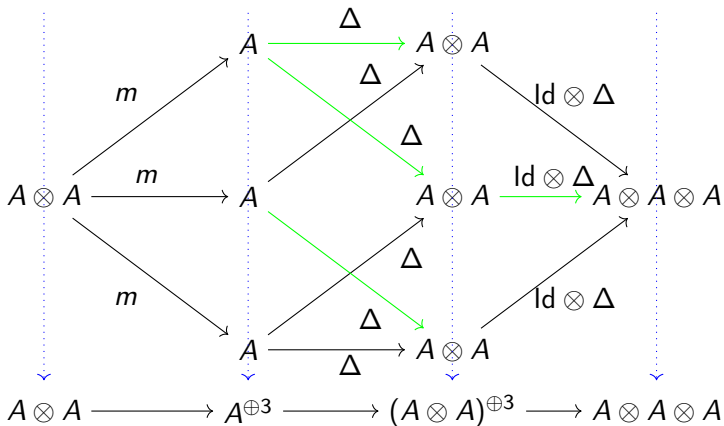
Pick  $A \in R\text{-gmod}$  such that  $q\text{rank } A = q + q^{-1}$



## Apply a 2D TQFT



# Direct sum down ranks and get a chain complex



## Theorem (Khovanov)

*The homology groups of this chain complex are knot invariants and the graded Euler characteristic of this complex is equal to the Jones polynomial*

$$J(K) = \sum_{i \in \mathbb{Z}} (-1)^i \text{rank } H^i$$

- The Khovanov homology groups give a strictly stronger knot invariant than the Jones polynomial
- Khovanov homology is a functor. That is, cobordisms between knots induce maps between Khovanov homologies

## Why did this construction work?

- The Jones polynomial is a rank alternating sum over a ranked poset  $P = C_n$

$$\sum_{x \in P} (-1)^{r(x)} f(x)$$

- Every interval of length 2 in  $C_n$  is a diamond (i.e.  $C_n$  is **thin**)
- There is a  $\{+1, -1\}$  edge coloring of  $C_n$  for which each diamond has an odd number of  $-1$ 's (a **balanced coloring**)

# Categorifying Vandermonde

$$V_n = \sum_{\pi \in S_n} (-1)^{\text{inv}(\pi)} x_1^{\pi(1)} x_2^{\pi(2)} \dots x_n^{\pi(n)}$$

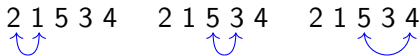
- $S_n$  has a thin poset structure: the **Bruhat order**
- $\text{inv}(\pi)$  is the rank function for this ordering
- The Bruhat order has a balanced coloring

We're in business!



# Bruhat Order on $S_n$

- An **inversion** in a permutation  $\pi$  is a pair  $(i, j)$  with  $i < j$  and  $\pi(i) > \pi(j)$
- $\text{inv}(\pi)$  denotes the number of inversions of  $\pi$   
E.g.  $\text{inv}(21534) = 3$



## Bruhat Order on $S_n$

- Has a vertex for each  $\pi \in S_n$  (one line notation)
- Has an edge (cover relation)  $\pi \lessdot \sigma$  whenever  $\sigma$  is gotten from  $\pi$  by transposing a non-inversion pair for which  $\text{inv}(\sigma) = \text{inv}(\pi) + 1$

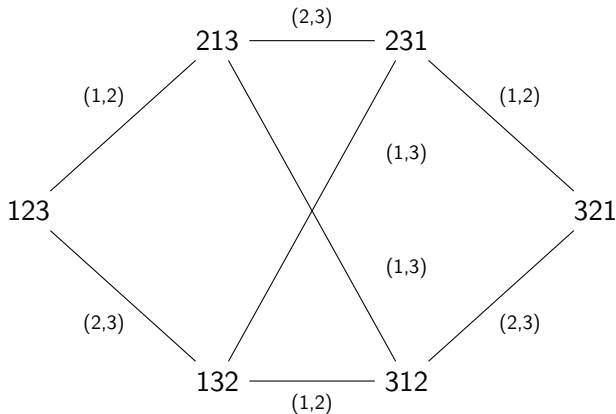
- E.g.

$2 \ 1 \ 5 \ 3 \ 4 \quad \lessdot \quad 2 \ 3 \ 5 \ 1 \ 4$ 
goes up by one inversion



$2 \ 1 \ 5 \ 3 \ 4 \quad \not\lessdot \quad 4 \ 1 \ 5 \ 3 \ 2$ 
goes up by two inversions

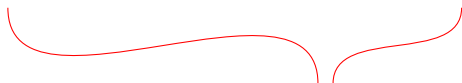


E.g. Bruhat Order on  $S_3$ 

## Colored Cobordisms: $\text{Cob}_2^n$

Objects:  $[n]$ -colored  
closed 1-manifolds

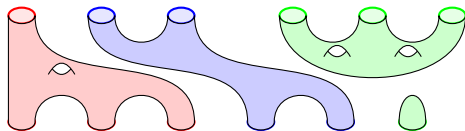
Morphisms: color  
preserving cobordisms



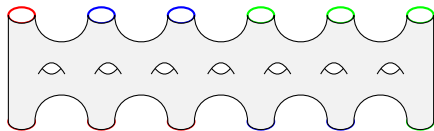
Let  $[n] = \{1, 2, \dots, n\}$ . The category  $\text{Cob}_2^n$  has

- Objects: closed 1-manifolds with each connected component given a color from  $[n]$
- Morphisms: 2-dimensional manifolds for which each connected component has monochromatic boundary

For example, let  $M = \circ\circ\circ\circ\circ\circ$  and  $N = \circ\circ\circ\circ\circ\circ$



is a colored cobordism from  $M$  to  $N$ , but not



# Permutations (vertices) encode colored smoothings

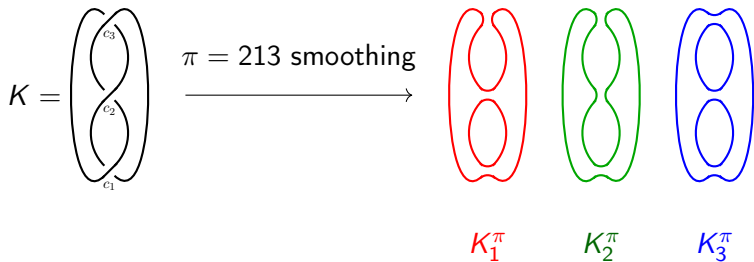
$K$  a knot diagram with crossings  $c_1, \dots, c_n$  and  $\pi \in S_n$

- The  $\pi$ -smoothing of  $K$  is

$$K^\pi = K_1^\pi \amalg K_2^\pi \amalg \dots \amalg K_n^\pi \in \text{Ob Cob}_2^n$$

- $K_i^\pi$  is gotten by giving  $c_1, c_2, \dots, c_{\pi(i)}$  1-smoothings and all other 0-smoothings
- All components of  $K_i^\pi$  are colored  $i$

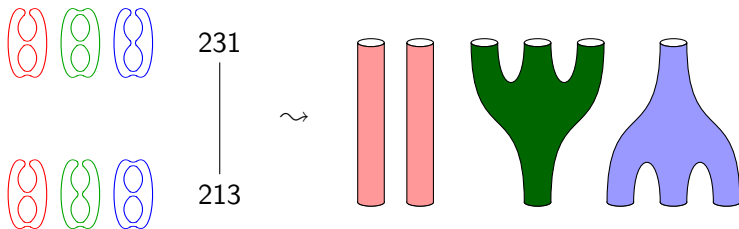
# $\pi$ -Smoothing Example



## Edges encode colored cobordisms

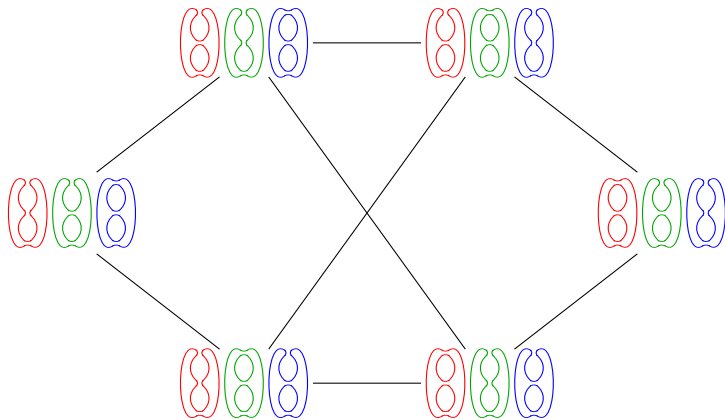
If  $\pi \triangleleft \sigma$  then  $K^\pi = K_1^\pi \amalg K_2^\pi \amalg \dots \amalg K_n^\pi \in \text{Ob Cob}_2^n$  and  
 $K^\sigma = K_1^\sigma \amalg K_2^\sigma \amalg \dots \amalg K_n^\sigma \in \text{Ob Cob}_2^n$

differ at exactly two colors. Use connected genus 0 cobordisms on the colored pieces which differ, and identity (cylinders) on pieces which do not change

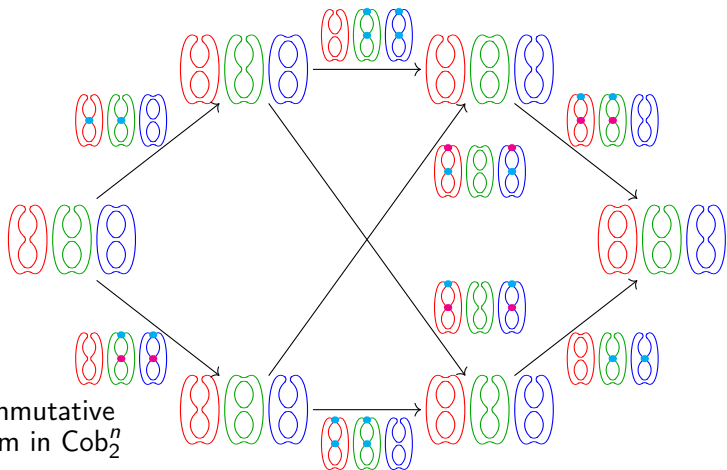




# Replace vertex $\pi$ with $\pi$ -smoothing



# Replace edges with colored cobordisms



A commutative  
diagram in  $\text{Cob}_2^n$

## 2D special colored TQFTs

### Definition

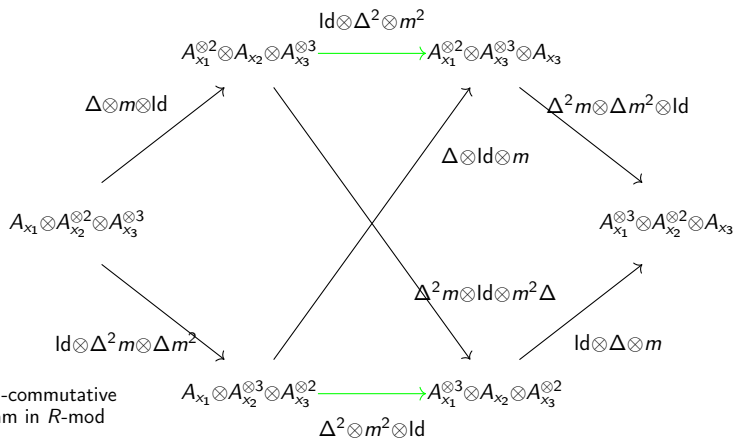
- A 2D TQFT  $F$  is **special** if the following condition holds:

$$F\left(\text{pair of pants diagram}\right) = F\left(\text{cylinder}\right) \iff \mu \circ \Delta = 1$$

- A **special colored TQFT** is a monoidal functor  $F : \text{Cob}_2^n \rightarrow R\text{-mod}$  which restricts to a special TQFT on each color.

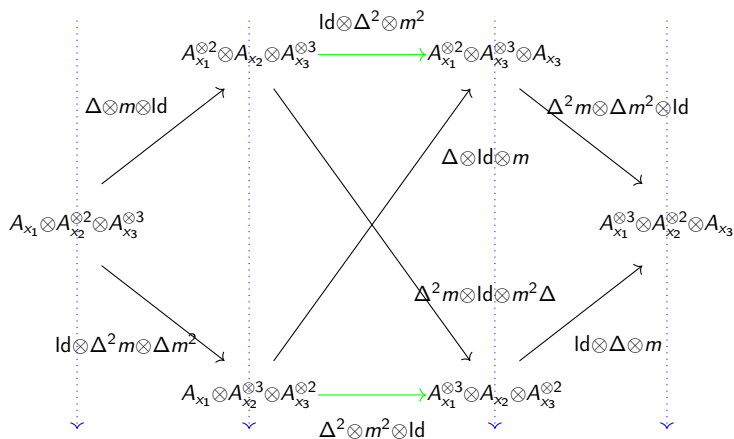
$$\text{red circle} \rightsquigarrow A_{x_1} \quad \text{rank} = x_1 \quad \text{green circle} \rightsquigarrow A_{x_2} \quad \text{rank} = x_2 \quad \dots \quad \text{blue circle} \rightsquigarrow A_{x_n} \quad \text{rank} = x_n$$

# Apply a Special Colored TQFT



An anti-commutative  
diagram in  $R\text{-mod}$

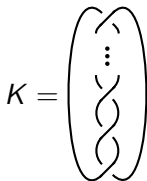
# Direct sum down ranks to get a chain complex



## Theorem (C.)

Let  $K$  be the alternating two strand braid diagram of the  $(2, n)$ -torus knot. Then the Euler characteristic of this chain complex is equal to the Vandermonde determinant

$$V_n = \sum_{i \geq 0} (-1)^i \dim H^i$$



# What's Next?

Questions:

- Is this categorification functorial?
- This process also works for generalized Vandermonde determinants. Can this be used to categorify the Frobenius formula?
- Relation to  $V_n = x_1 \dots x_n \prod_{i < j} (x_j - x_i)$

**Goal #2:** Categorify the Laguerre polynomials



# Orthogonal Polynomials

The polynomial ring  $\mathbb{Q}[x]$  has standard basis  $\{1, x, x^2, \dots\}$  orthogonal with respect to bilinear form

$$(f, g) = \sum_{n \geq 0} ([x^n]f) ([x^n]g)$$

By changing the bilinear form we can get other families of orthogonal polynomials.

# Orthogonal Polynomials

We consider the bilinear form

$$(f, g) = \int_a^b f(x)g(x)w(x)dx$$

for some choice of weight function  $w(x)$  and interval  $[a, b]$ .

Apply Gram-Schmidt to the standard basis  $\{1, x, x^2, \dots\}$  w.r.t. this bilinear form to get a family of orthogonal polynomials.

$w(x)$	$e^{-x^2}$	$\sqrt{1-x^2}$	$e^{-x}$
$(a, b)$	$(-\infty, \infty)$	$(-1, 1)$	$(0, \infty)$
Family	Hermite	Chebyshev	Laguerre

# Laguerre Polynomials

Consider the choice:

$$(f, g) = \int_0^{\infty} f(x)g(x)e^{-x}dx.$$

Applying Gram-Schmidt we get the Laguerre polynomials

$$L_n(x) = \sum_{k \geq 0} (-1)^k \binom{n}{k} \frac{x^k}{k!}$$

# The Plan

- Categorify  $L_n(x)$  similarly to Khovanov and Sazdanovic's categorification of Hermite and Chebyshev polynomials
- First review their categorifications

# Grothendieck Groups

has 'direct sums'



**Def:** The Grothendieck group  $K_0(\mathcal{A})$  of (additive) category  $\mathcal{A}$

- Free Abel. group generated by symbols  $[M]$  for  $M \in \text{Ob}(\mathcal{A})$
- Subject to relations  $[M] = [N] + [K]$  whenever  $M \cong N \oplus K$
- For example  $K_0(\mathbb{k}\text{-Vect}_{fin}) \cong \mathbb{Z}$  generated by  $[\mathbb{k}]$

# Categorification of abelian groups

To categorify some element  $g$  in an abelian group  $G$ :

- Construct a category  $\mathcal{C}$  with  $K_0(\mathcal{C}) \cong G$
- Find an object  $M_g \in \text{Ob}(\mathcal{C})$  such that  $[M_g] \mapsto g$  under this isomorphism (make identification  $[M_g] = g$ )

Question: What can we learn about  $g$  by looking at  $M_g$ ?

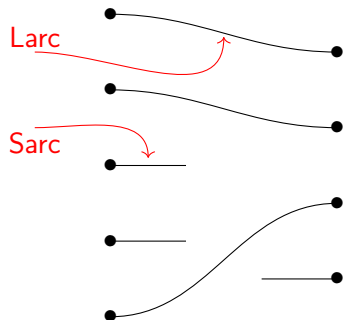
# Categorifying a Polynomial $f(x) \in \mathbb{Z}[x]$

- Category  $\mathcal{C}$  with  $K_0(\mathcal{C}) \cong \mathbb{Z}[x]$
- Family of objects  $P_n$  with  $[P_n] = x^n$
- Object  $M$  with  $[M] = f(x)$

In 2011, Sazdanovic and Khovanov constructed such a category  $\mathcal{C}$  via the “slarc” algebra.

**Def** An  $(n, m)$ -slarc diagram has

- $n$  left endpoints and  $m$  right endpoints
- Each endpoint has a short arc (sarc) or a long arc (larc)
- No crossings or returns



$(5, 4)$ -slarc diagram

Width=3



**Def** Let  ${}_n B_m$  denote the set of  $(n, m)$ -slarc diagrams. Then

$$|{}_n B_m| = \sum_{k \geq 0} \binom{n}{k} \binom{m}{k} = \binom{n+m}{n}$$

width

Define

$$B = \bigsqcup_{n, m \geq 0} {}_n B_m$$

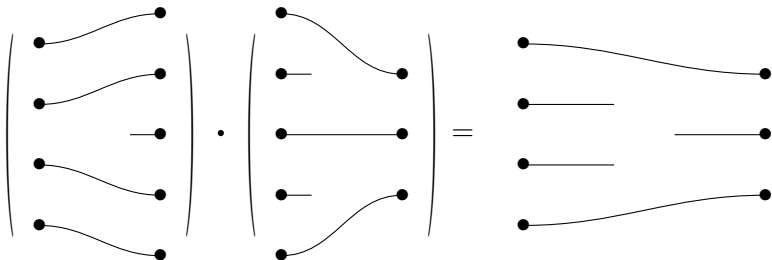
and define  $A$  as the  $\mathbb{k}$ -vector space with basis  $B$ :

$$A = \mathbb{k}B$$

## Algebra of slarcs

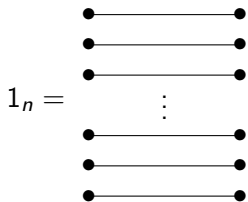
Algebra structure on  $A = \mathbb{k}B$ :

- Multiply compatible diagrams by horizontal concatenation
- Incompatible diagrams have product 0
- Floating arcs are set to 0:  $\text{---}\bullet\text{---} = 0$



# Orthogonal idempotents

**Def:** Let  $1_n \in {}_n B_n$  be the unique diagram with no sarcs.



The elements  $\{1_n \mid n \in \mathbb{N}\}$  are mutually orthogonal idempotents:

$$1_n 1_n = 1_n$$

$$1_n 1_m = 0 \quad (n \neq m)$$

- **Def:** Let  $M$  be an  $A$ -module.  $M$  is projective if  $M \oplus N$  is free for some  $A$ -module  $N$ .
- Let  $A\text{-pmod}$  denote the category of f.g. projective  $A$ -modules.
- The modules  $P_n := A \cdot 1_n$  are indecomposable projectives
- $\text{Hom}(P_n, P_m) = \mathbb{k} \cdot {}_n B_m$

### Theorem (Sazdanovic, Khovanov, 2011 )

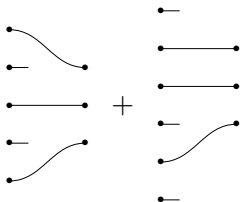
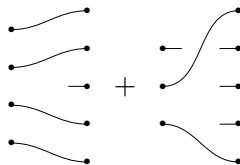
*Every f.g. projective  $A$ -module can be written as a direct sum of  $P_n$ 's.*

### Theorem (Sazdanovic, Khovanov, 2011 )

*The map  $K_0(A\text{-pmod}) \rightarrow \mathbb{Z}[x]$  sending  $[P_n] \mapsto x^n$  is a group isomorphism.*

## Standard modules

- Let  $K_n \leq P_n$  be the submodule generated by diagrams with at least one right sarc
- Define the **standard module**  $M_n = P_n / K_n$
- $M_n$  has a basis of diagrams with  $n$  right endpoints and no right sarcs

Standard  $M_3$ Projective  $P_5$

## Relations between $P_n$ and $M_n$

- Let  $P_n(\leq k)$  be the submodule of  $P_n$  generated by diagrams of width  $\leq k$  (diagrams with  $\leq k$  larks)
- $P_n$  has a filtration  $P_n(\leq 0) \subseteq P_n(\leq 1) \subseteq \cdots \subseteq P_n(\leq n) = P_n$
- $P_n(\leq k)/P_n(\leq k-1) \cong M_k^{\binom{n}{k}}$

e.g.  
 $n = 6, k = 3$

The diagram shows an equality between three terms. On the left is a diagram with 6 horizontal strands. A vertical dashed blue line is drawn between the second and third strands from the left. The strands to the left of the line are connected to the strands to the right of the line by curved lines (larks). On the right of the equation is the product of two diagrams, each with 3 horizontal strands. The first diagram has curved lines connecting the top two strands to the top two strands on the right, and the bottom strand to the bottom strand on the right. The second diagram has curved lines connecting the top strand to the top strand on the right, the middle strand to the middle strand on the right, and the bottom strand to the bottom strand on the right.

Objects: bounded  
complexes of projectives

Morphisms: chain maps  
up to chain homotopy

Theorem (Sazdanovic, Khovanov, 2011)

$$K_0(A\text{-}pmod) \cong K_0(C^b(A\text{-}pmod))$$

via the Euler characteristic map

$$[C^*] \mapsto \sum_{n \in \mathbb{Z}} (-1)^n [C^n].$$

## Theorem (Relations in $K_0(A\text{-mod})$ )

Given a filtration  $F_0 \subseteq F_1 \subseteq \cdots \subseteq F_n = F$  with  $F_i/F_{i-1} \cong Q_i$  it follows that

$$[F] = \sum_{i=0}^n [Q_i]$$

Given a resolution  $0 \rightarrow F_0 \rightarrow \cdots \rightarrow F_n \rightarrow F$  it follows that

$$[F] = \sum_{i=0}^n (-1)^i [F_i]$$



## Relations between $P_n$ and $M_n$

- Consequently  $[P_n] = \sum_{k=0}^n \binom{n}{k} [M_k]$  in  $K_0(A\text{-mod})$
- Inverting this yields

$$[M_n] = \sum_{k=0}^n (-1)^{n+k} \binom{n}{k} [P_k]$$

- Suggests  $M_n$  has a resolution by  $P_k$ 's:

$$0 \rightarrow P_0 \rightarrow \cdots \rightarrow P_k^{\binom{n}{k}} \rightarrow \cdots \rightarrow P_{n-1}^{\binom{n}{n-1}} \rightarrow P_n \rightarrow M_n$$

- Szazdanovic and Khovanov constructed such a resolution in 2011

Under the isomorphism  $[P_n] \mapsto x^n$  we find that

$$[M_n] = \sum_{k=0}^n (-1)^{n+k} \binom{n}{k} x^k = (x-1)^n$$

so  $M_n$  categorifies  $(x-1)^n$ .

$$0 \rightarrow P_0 \rightarrow \cdots \rightarrow P_{n-1}^{\binom{n}{n-1}} \rightarrow P_n \rightarrow M_n$$

$$\downarrow K_0$$

$$[M_n] = \sum_{k=0}^n (-1)^{n+k} \binom{n}{k} [P_k]$$

$$\downarrow \cong$$

$$(x-1)^n = \sum_{k=0}^n (-1)^{n+k} \binom{n}{k} x^k$$

$$P_n(\leq 0) \subseteq \cdots \subseteq P_n(\leq n) = P_n$$

$$\downarrow K_0$$

$$[P_n] = \sum_{k=0}^n \binom{n}{k} [M_k]$$

$$\downarrow \cong$$

$$x^n = \sum_{k=0}^n \binom{n}{k} (x-1)^k$$

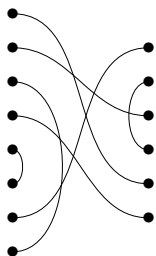
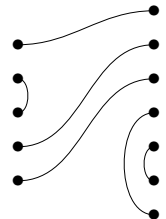
# Categorifying Orthogonal Polynomials in $\mathbb{Z}[x]$

Idea: Categorify the bilinear form  $(\cdot, \cdot)$  on  $\mathbb{Z}[x]$ . We need:

- Diagrammatic algebra  $A$
- $K_0(A\text{-pmod}) \cong \mathbb{Z}[x]$  as groups via  $[P_n] \mapsto x^n$
- $(x^n, x^m) = \dim \text{Hom}(P_n, P_m) = |{}_n B_m|$

That is, construct a diagrammatic algebra where  ${}_n B_m$  is counted by  $(x^n, x^m)$ .

# Categorifying Hermite and Chebyshev Polynomials

	Hermite	Chebyshev
Formula	$\sum (-1)^k \binom{n}{n-2k} (2k-1)!! x^{n-2k}$	$\sum (-1)^k \binom{n-k}{k} x^{n-2k}$
$(x^n, x^m)$	$(n+m-1)!!$	Catalan $C_k, k = \frac{n+m}{2}$
Diagrams	Allow returns, crossings, no sarcs 	non-crossing matchings 

# Categorifying Laguerre Polynomials

$$L_n(x) = \sum (-1)^k \binom{n}{k} \frac{x^k}{k!}$$

- Problem! Coeff of  $x^k$  in  $L_n(x)$  is not an integer...
- A solution: View  $L_n(x)$  as an *exponential polynomial*

$$L_n(x) \in \mathbb{Z}^{\text{exp}}[x] := \mathbb{Z} \left[ x, \frac{x^2}{2!}, \frac{x^3}{3!}, \dots \right]$$

- coeff of  $\frac{x^k}{k!}$  in  $L_n(x)$  is  $(-1)^k \binom{n}{k}$ .

# Categorifying Laguerre Polynomials

Strategy: Find a diagrammatic algebra  $A$  with

- $K_0(A\text{-pmod}) \cong \mathbb{Z}^{\text{exp}}[x]$ ,  $[P_n] \mapsto \frac{x^n}{n!}$   
(easy: since  $\mathbb{Z}[x] \cong \mathbb{Z}^{\text{exp}}[x]$  as groups,  $A\text{-pmod}$  works)
- $\left(\frac{x^n}{n!}, \frac{x^m}{m!}\right) = \dim \text{Hom}(P_n, P_m)$
- Find modules  $M_n$  for which  $[M_n] = L_n(x)$

## Choosing Diagrammatics

- Bilinear form for Laguerre is  $(f, g) = \int_0^\infty f(x)g(x)e^{-x}dx$
- $(\frac{x^n}{n!}, \frac{x^m}{m!}) = \int_0^\infty \frac{x^n}{n!} \frac{x^m}{m!} e^{-x} dx = \frac{(n+m)!}{n!m!} = \binom{n+m}{n}$
- recall for slarc algebra  $A$ ,  $\dim \text{Hom}(P_n, P_m) = \binom{n+m}{n}$

## Choosing Modules

Recall the standard modules  $M_n$  satisfy

$$[M_n] = \sum_{k=0}^n (-1)^{n+k} \binom{n}{k} [P_k]$$

Define the *signed standard modules*

$$N_n = M_n[n] = (0 \rightarrow (M_n)_{(n)} \rightarrow 0)$$

so in the Grothendieck group

$$[N_n] = (-1)^n [M_n]$$



# Choosing Modules

## Theorem (C. 2017)

$K_0(A\text{-}p\text{mod}) \cong \mathbb{Z}^{\text{exp}}[x]$  via  $[P_n] \mapsto \frac{x^n}{n!}$  and signed standard modules categorify Laguerre polynomials under this isomorphism

$$[M_n] = (-1)^n L_n(x)$$

$$[N_n] = L_n(x)$$

$$0 \rightarrow P_0 \rightarrow \cdots \rightarrow P_{n-1}^{\binom{n}{n-1}} \rightarrow P_n \rightarrow M_n$$

$$\downarrow K_0$$

$$[M_n] = \sum_{k=0}^n (-1)^{n+k} \binom{n}{k} [P_k]$$

$$\downarrow \cong$$

$$(-1)^n L_n(x) = (-1)^n \sum_{k=0}^n (-1)^k \binom{n}{k} \frac{x^k}{k!}$$

$$P_n(\leq 0) \subseteq \cdots \subseteq P_n(\leq n) = P_n$$

$$\downarrow K_0$$

$$[P_n] = \sum_{k=0}^n \binom{n}{k} [M_k]$$

$$\downarrow \cong$$

$$\frac{x^n}{n!} = \sum_{k=0}^n (-1)^k \binom{n}{k} L_k(x)$$

## Future goal: categorify product structure on $\mathbb{Z}^{\text{exp}}[x]$

- Categorify product  $\frac{x^n}{n!} \cdot \frac{x^m}{m!} = \binom{n+m}{n} \frac{x^{n+m}}{(n+m)!}$
- Need a functor  $\otimes : A\text{-pmod} \times A\text{-pmod} \rightarrow A\text{-pmod}$
- Set  $P_n \otimes P_m = P_{n+m}^{\binom{n+m}{n}}$
- Need to describe action on morphisms. That is, given:

$$f : P_n \rightarrow P_k$$

$$g : P_m \rightarrow P_\ell,$$

we need to define

$$f \otimes g : P_n \otimes P_m \rightarrow P_k \otimes P_\ell$$

$$f \otimes g : P_{n+m}^{\binom{n+m}{n}} \rightarrow P_{k+\ell}^{\binom{k+\ell}{k}}$$

## Product on $A\text{-pmod}$

- Identify elements of  $P_{n+m}^{\binom{n+m}{n}}$  as formal sums

E.g.  
 $n = 2, m = 1$

- Identify maps of  $P_{n+m}^{\binom{n+m}{n}} \rightarrow P_{k+\ell}^{\binom{k+\ell}{k}}$  as formal sums

E.g.  
 $n = 3, m = 2$   
 $k = 2, \ell = 2$

## Product on $A\text{-pmod}$

Define product  $A\text{-pmod} \times A\text{-pmod} \rightarrow A\text{-pmod}$  on morphisms by

- 1 Stacking diagrams
- 2 Summing over all labelings which are 'sub-diagrams'

The diagrammatic equation illustrates the product in  $A\text{-pmod}$ . On the left, two strands cross, with a tensor product symbol  $\otimes$  between them. This is equal to the sum of six diagrams, each representing a different labeling of the crossing with blue dots. The diagrams are arranged in two rows of three, separated by plus signs. The top row shows three diagrams where the crossing is labeled with dots at the top and bottom of the strands. The bottom row shows three diagrams where the crossing is labeled with dots at the top and bottom of the strands, but with different dot placements on the strands.

# Future goal: categorify products of Laguerre polynomials

$$L_r(x)L_s(x) = \sum_{t=|r-s|}^{r+s} (-1)^{r+s+t} c_{rst} L_t(x)$$

where  $c_{rst} = \sum_n 2^{2n-p} \frac{(r+s-n)!}{(r-n)!(s-n)!(2n-p)!(p-n)!}$ , and  $p = r + s + t$

$$\begin{aligned} P(M_r) \otimes P(M_s) &= F_{r+s} \supset F_{r+s-1} \supset \cdots \supset F_{|r-s|} \\ &\downarrow \kappa_0 \\ [M_r] \cdot [M_s] &= \sum_{t=|r-s|}^{r+s} c_{rst} [M_t] \\ &\downarrow \cong \\ (-1)^r L_r(x) \cdot (-1)^s L_s(x) &= \sum_{t=|r-s|}^{r+s} c_{rst} (-1)^t L_t(x) \end{aligned}$$

## Other Projects

- Torsion in Khovanov homology of 3-braids with Victor Summers
- Chromatic homology for signed graphs and its relation to Khovanov homology
- Chapter in MSRI book on categorical diagonalization

Thank you!